



# SPECTRA

## INDUSTRIES LIMITED

Tel.: 2889 3933, 77 Fax : 91-22-2889 1342  
E-mail : spectragrp@gmail.com  
Website : www.spectraindustries.in

Plot No. 9, Spectra Compound, 4th Floor,  
Ramchandra Lane Extn., Kanchpada II,  
Malad (West), MUMBAI - 400 064.

CIN : L74999MH1992PLC067849

Date: January 14, 2019

To,  
The General Manager  
**BSE LIMITED**  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001

Scrip code: 513687

Dear Sir,

**Sub.: Shareholding Pattern as 31.12.2018**

Pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 we are submitting herewith the Statement showing holding of specified securities and the Shareholding pattern for the quarter ended December 31, 2018.

Kindly take the same on record.

Thanking you.

Yours faithfully,  
For **SPECTRA INDUSTRIES LIMITED**

  
**Jaidev Gupta**  
Executive Director



Encl: As above

**Holding of specified securities  
Annexure - I**

1. Name of Listed Entity :	<b>Spectra Industries Ltd</b>
2. Scrip Code/Name of Scrip/Class of Security :	<b>513687 / Equity</b>
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	<b>Regulation 31 (1) (b)</b>
a. If under 31(1)(b) then indicate the report for Quarter ending	<b>31-12-2018</b>
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
<b>Particulars</b>	<b>Yes*/No*</b>
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
-1	Indian		0	0		0		0										
(a)	Individuals/Hindu undivided Family	13	2588267	0		2588267	36.57	2588267		2588267	36.57						2588267	
	JAIDEV GUPTA	1	13010	0		13010	0.18	13010		13010	0.18						13010	
	SUMIT V GUPTA	1	15000	0		15000	0.21	15000		15000	0.21						15000	
	SHUBMAYEE GUPTA	1	18500	0		18500	0.26	18500		18500	0.26						18500	
	SIMRAN GUPTA	1	33000	0		33000	0.47	33000		33000	0.47						33000	
	VANDANA VINOD GUPTA	1	33400	0		33400	0.47	33400		33400	0.47						33400	
	VINOD KUMAR ROSHANLAL GUPTA HUF	1	48800	0		48800	0.69	48800		48800	0.69						48800	
	RASHI JAIDEV GUPTA	1	54306	0		54306	0.77	54306		54306	0.77						54306	
	SHEELA VINOD GUPTA	1	90761	0		90761	1.28	90761		90761	1.28						90761	
	BHARAT BHUSHAN GUPTA	1	233000	0		233000	3.29	233000		233000	3.29						233000	
	ANJU GUPTA	1	271300	0		271300	3.83	271300		271300	3.83						271300	
	VINOD KUMAR ROSHANLAL GUPTA	1	553900	0		553900	7.83	553900		553900	7.83						553900	
	JAIDEV VINODKUMAR GUPTA	1	556391	0		556391	7.86	556391		556391	7.86						556391	
	BHARAT BHUSHAN GUPTA	1	666899	0		666899	9.42	666899		666899	9.42						666899	
(b)	Central Government/ State Government(s)		0	0		0		0		0								
(c)	Financial Institutions/ Banks		0	0		0		0		0								
(d)	Any Other (specify)	5	1356900	0		1356900	19.17	1356900		1356900	19.17						1356900	
	SPECTRA MOTORS LIMITED	1	40000	0		40000	0.57	40000		40000	0.57						40000	
	ROOPSHAN TEXTILES PVT.LTD.	1	96800	0		96800	1.37	96800		96800	1.37						96800	
	ROSHAN STEEL INDUSTRIES PVT LTD	1	174100	0		174100	2.46	174100		174100	2.46						174100	
	ROSHANLAL GUPTA AND SONS PVT LTD	1	301000	0		301000	4.25	301000		301000	4.25						301000	
	PRAKASH HEAT TREATMENT AND INDUSTRIES P LTD	1	745000	0		745000	10.52	745000		745000	10.52						745000	
Sub-Total (A)(I)		18	3945167	0		3945167	55.73	3945167		3945167	55.73						3945167	
-2	Foreign		0	0		0		0		0								
(a)	Individuals (Non Resident Individuals/ Foreign Individuals)		0	0		0		0		0								

(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (A)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)		18	3945167	0	3945167	55.73	3945167	55.73	3945167	55.73	55.73	55.73	55.73	55.73	55.73	55.73	55.73	3945167
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
-1	Institutions		0	0		0	0	0	0									
(a)	Mutual Funds	3	6700	0		6700	0.09	6700	6700	0.09								0
(b)	Venture Capital Funds		0	0		0		0	0									
(c)	Alternate Investment Funds		0	0		0		0	0									
(d)	Foreign Venture Capital Investors		0	0		0		0	0									
(e)	Foreign Portfolio Investors		0	0		0		0	0									
(f)	Financial Institutions/ Banks		0	0		0		0	0									
(g)	Insurance Companies		0	0		0		0	0									
(h)	Provident Funds/ Pension Funds		0	0		0		0	0									
(i)	Any Other (specify)		0	0		0		0	0									
	Sub-Total (B)(1)	3	6700	0		6700	0.09	6700	6700	0.09								0
-2	Central Government/ State Government(s)/ President of India		0	0		0		0	0									
	Sub-Total (B)(2)		0	0		0		0	0									
-3	Non-institutions		0	0		0		0	0									
(a)(i)	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4738	1534937	0		1534937	21.68	1534937	1534937	21.68								1017327
(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	15	1098521	0		1098521	15.52	1098521	1098521	15.52								1075521
	MAYUR DASHRATH PANCHAL	1	98775	0		98775	1.4	98775	98775	1.4								98775
	RAMNARAIN GUPTA	1	100000	0		100000	1.41	100000	100000	1.41								100000
	CHANDRABHAN SAMARBAHADUR SINGH	1	167084	0		167084	2.36	167084	167084	2.36								167084
	RAHUL CHANDRABHAN SINGH	1	171170	0		171170	2.42	171170	171170	2.42								171170
	ANITA DEEPAK SARAF	1	192661	0		192661	2.72	192661	192661	2.72								192661
(b)	NBFCs registered with RBI		0	0		0		0	0									
(c)	Employee Trusts		0	0		0		0	0									
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0	0									
(e)	Any Other (specify)	156	493175	0		493175	6.97	493175	493175	6.97								442175
	Clearing Members	7	4831	0		4831	0.07	4831	4831	0.07								4831
	Non-Resident Indian (NRI)	37	68549	0		68549	0.97	68549	68549	0.97								27349
	HUF	56	113151	0		113151	1.6	113151	113151	1.6								110551
	VARJU INVESTMENT PRIVATE LTD	1	232647	0		232647	3.29	232647	232647	3.29								232647
	Bodies Corporate	56	306644	0		306644	4.33	306644	306644	4.33								299444
	Sub-Total (B)(3)	4909	3126633	0		3126633	44.17	3126633	3126633	44.17								2535023
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	4912	3133333	0		3133333	44.27	3133333	3133333	44.27								2535023
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																		

